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On the synthesis of Quantum Hall Array Resistance Standards

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Abstract. Quantum Hall effect (QHE) is the basis of modern resistance metrology. In Quantum Hall Array Resistance Standards (QHARS), several individual QHE elements, each one having the same QHE resistance (typically half of the von Klitzing constant), are arranged in networks that realize resistance values close to decadic values (such as $1\text{ k}\Omega$ or $100\text{ k}\Omega$), of direct interest for dissemination. The same decadic value can be approximated with different grades of precision, and even for the same approximation several networks of QHE elements can be conceived. The paper investigates the design of QHARS networks by giving methods to find a proper approximation of the resistance of interest, and to design the corresponding network with a small number of elements. Results for several decadic cases are given.

1. Introduction

Quantum Hall Array Resistance Standards (QHARS) [1–11] are integrated circuits in which several quantum Hall elements are interconnected to compose a resistive network. The so-called *multiple-series* and *multiple-parallel* connections (see [12] and references therein) allow the realization of four-terminal resistances with negligible effect from contact and wiring resistances.

National metrology institutes maintain and disseminate the unit of electrical resistance through artifact resistance standards having decadic nominal values, such as $100\,\Omega$, $1\,\text{k}\Omega$, $10\,\text{k}\Omega$. Resistance metrology would strongly benefit from the development of reliable QHARS having resistance values close to decadic values, because the calibration of artifact standards could thus be performed by 1:1 ratio bridges, which do not require ratio calibration, or even by substitution.

QHARS require dedicated foundries and the development of novel realization methods, in particular for what concerns wiring and insulation. Although the present integration level allows the realization of QHARS with hundreds of elements, a basic goal of QHARS design is to maximize simplicity, keeping the number of the elements to a minimum.

Recent papers have shown that the same approximation of a decade resistance value R can be realized with networks having a greatly different number of elements; for example, in the realization of a $10\,\text{k}\Omega$ QHARS, the National Metrology Institute of Japan (NMIJ) evolved from a 266-element network [6] to a 16-element one [11].

The aim of the present paper is to give advice on the synthesis of QHARS networks which approximate a given resistance value within the accuracy required in resistance metrology and employing a minimal number of elements.

2. The problem

A QHARS is a circuit composed of interconnected elements having the same resistance $R_H = R_K/i$, where R_K is the von Klitzing constant and i is the *plateau index* (typically, $i = 2$). The total resistance R of a QHARS is a fraction of the element resistance, $R = (p/q)R_H$, where p and q are positive integers which depend only on the network topology.

The main goal in the design of a QHARS is to obtain a device having a resistance value close to a target value R_0 . In the following, for ease of notation, we consider the normalized dimensionless quantities $\rho_0 = R_0/R_H$ (a generic real number) and $\rho = R/R_H = p/q$ (a rational number).

The problem of QHARS network synthesis can be divided into the following steps:

- (i) Find a rational approximation $\rho = p/q$ of ρ_0 such that the magnitude of the relative error $\delta = (\rho - \rho_0)/\rho_0$ is less than a specified limit δ_{\max} , that is $|\delta| < \delta_{\max}$.
- (ii) Synthesize an optimal network with normalized resistance ρ with a minimal number n of elements.

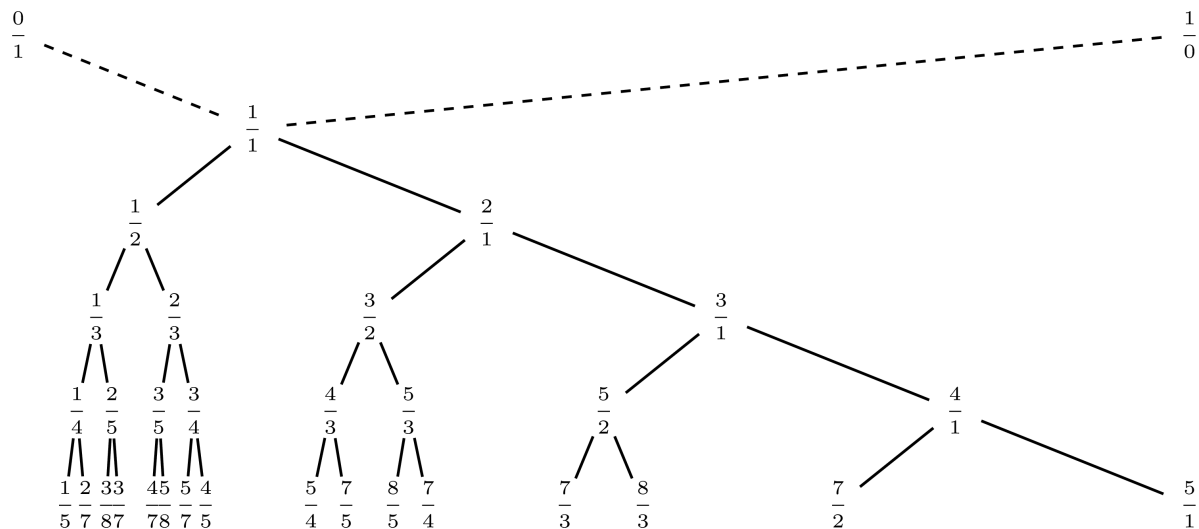


Figure 1. Top levels of the Stern-Brocot tree (for fractions different from $1/0$ the horizontal position is to scale).

3. Rational approximations

In this section we consider the problem of obtaining a rational approximation for a given positive real number. Historically, this problem was of particular interest to clockmakers, who had to design accurate gear trains, and led the French clockmaker Achille Brocot to conceive the useful construction which is discussed below[‡]. The so-called Stern-Brocot tree [14–16] is a binary tree whose nodes are in one-to-one correspondence with the set of positive rational numbers. In particular (figure 1), each node is the mediant fraction $(a + c)/(b + d)$ of the left and right nearest ancestors a/b and c/d (the fractions that can be reached by following the branches upward); the root node is the fraction $1/1$, generated from the fictitious ancestors $0/1$ and $1/0$.

The importance of Stern-Brocot tree in the approximation theory rises up by considering the sequences of rationals, namely the Stern-Brocot sequences, obtained by walking down the nodes of the tree. Given a real number ρ_0 , the corresponding Stern-Brocot sequence $\rho_1, \rho_2, \rho_3, \dots$ can be generated by walking down the tree according to the following rules (see figure 2): start from the tree root $\rho_1 = 1/1$; then, for each $k = 1, 2, 3, \dots$, if $\rho_k < \rho_0$, set ρ_{k+1} equal to the left child of ρ_k ; otherwise, if $\rho_k > \rho_0$, set ρ_{k+1} equal to the right child; if, for some k , $\rho_k = \rho_0$, stop (if ρ_0 is rational, the sequence is finite). The Stern-Brocot sequence $\rho_1, \rho_2, \rho_3, \dots$ obtained in this way converges to ρ_0 ; moreover, the terms of the sequence are rational approximation of ρ_0 that are optimal in the following sense: if a certain rational approximation of ρ_0 does not belong to the sequence, than there is a term of the sequence having smaller numerator or denominator which is a better approximation [16].

A known method to approximate real numbers is that of continued fraction

[‡] Before the advent of direct digital frequency synthesizers, time and frequency metrologists —the modern clockmakers— confronted with this problem too (see e.g. [13]).

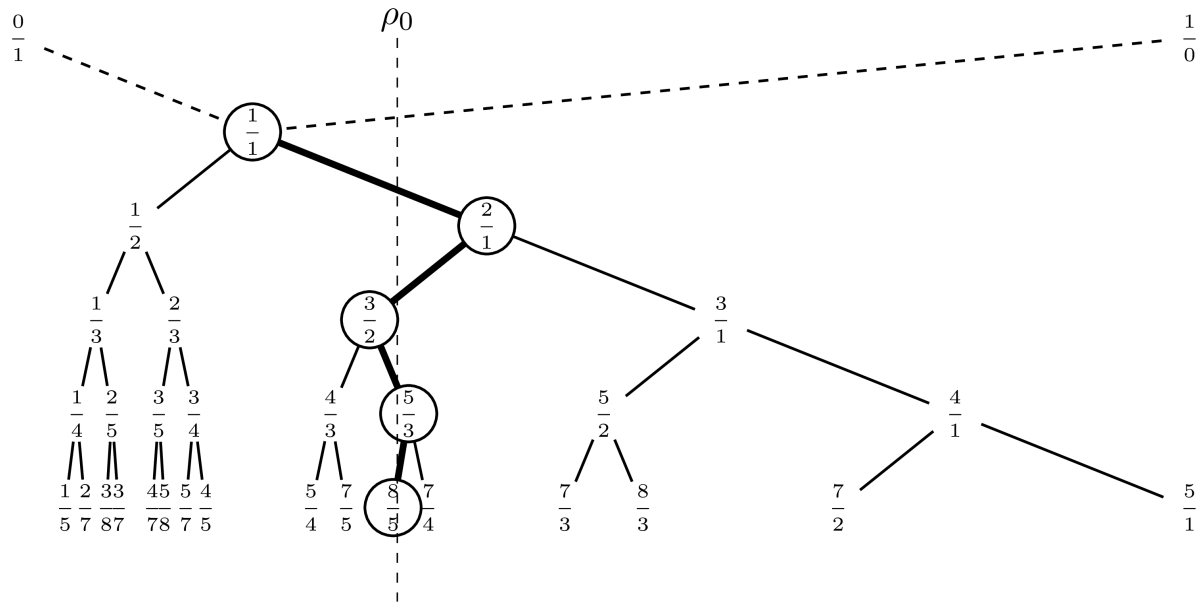


Figure 2. Walking down the Stern-Brocot tree to approximate a real number ρ_0 (here the Stern-Brocot sequence is $\frac{1}{1}, \frac{2}{1}, \frac{3}{2}, \frac{5}{3}, \frac{8}{5}, \dots$).

expansion. A continued fraction is a representation of a real number ρ_0 through a sequence of integers as follows:

$$\rho_0 = \alpha_0 + \frac{1}{\alpha_1 + \frac{1}{\alpha_2 + \frac{1}{\alpha_3 + \dots}}} \quad (1)$$

where the integers $\alpha_0, \alpha_1, \dots$ are given by the recurrence relations [17]

$$\xi_0 = \rho_0, \quad (2)$$

$$\alpha_k = [\xi_k], \quad (3)$$

$$\xi_{k+1} = \frac{1}{\xi_k - \alpha_k}, \quad \text{if } \xi_k \text{ is not an integer,} \quad (4)$$

where $k = 0, 1, 2, \dots$, and $[\xi_k]$ denotes the integer part of ξ_k . A continued fraction can be expressed in a compact way using the notation $[\alpha_0; \alpha_1, \alpha_2, \alpha_3, \dots]$.

The finite continued fractions $[\alpha_0; \dots, \alpha_m]$, for $m = 0, 1, 2, \dots$, are rational approximations of ρ_0 . Given a real number ρ_0 , all the rational approximations obtained by continued fraction expansion are included in the Stern-Brocot sequence associated to the same ρ_0 : therefore, approximations obtained by continued fraction expansion need not to be considered separately.

4. QHARS network synthesis

This section considers the problem of synthesizing a planar resistive network from a given rational approximation $\rho = p/q$ of the target resistance ρ_0 . In the following, we consider p and q to be coprimes. Furthermore, since we are dealing with normalized

quantities, all elements are of unit value. The systematic generation of such networks was investigated in [18–20].

For a certain ρ , several different equivalent networks having a different number n of elements can be synthesized. A simple example is shown in figure 3, where $\rho = 6/5$ is obtained with two different networks.

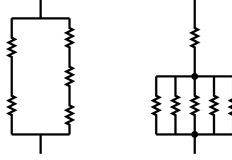


Figure 3. Two different networks with $\rho = 6/5$. The network on the left has $n = 5$ elements, while that on the right has $n = 6$ elements.

A basic network which realizes $\rho = p/q$ in a trivial way is the rectangular network of figure 4, which is composed of $n = pq$ elements arranged in a rectangular grid of height p and width q . For each element, the normalized voltage drop is $1/p$ and the corresponding normalized current is $1/q$.

As shown in figure 4, the rectangular network can be transformed into an equivalent one with fewer elements, by substituting a “square” of $k \times k$ elements with a single element. This new element will sustain a normalized current k/q and a voltage drop k/p will develop on it [21]. The substitution process can be iterated, and any remaining square of elements having side $k > 1$ can be substituted with a single element.

The reader can appreciate that the problem of finding equivalent networks has been related to a geometrical problem: **given a rectangle having integer sides p and q , find a tiling of the rectangle with squares of integer sides** [21–23].

For example, figure 5 shows the two tilings of a 6×5 rectangle corresponding to the two different networks of figure 3; each square of side $k = 1, \dots, 5$ corresponds a single element, which sustains a voltage $k/5$ and a current $k/6$.

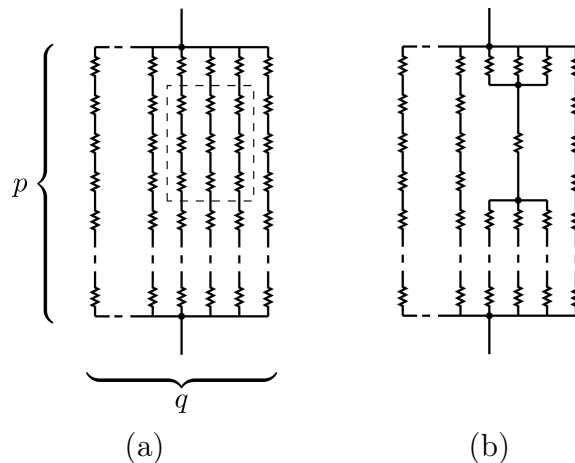


Figure 4. (a) The rectangular $p \times q$ network. (b) A 3×3 square of elements has been substituted with a single element.

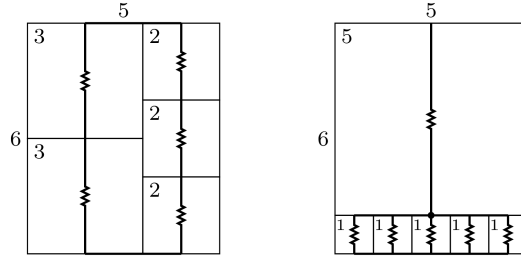


Figure 5. Tilings of the same 6×5 rectangle corresponding to the networks of figure 3.

The problem of finding a network with value $\rho = p/q$ having a minimal number of elements is therefore related to the problem of finding a tiling of the rectangle with integer sides p, q with the minimum number $n^*(p, q)$ of integer-sided squares. This problem has been widely studied (see e.g. [21–25]) and the following properties of $n^*(p, q)$ are known [24, 25]:

- (i) $n^*(p, q) \geq \max\{p/q, q/p, \log_2 p, \log_2 q\}$;
- (ii) $n^*(p, q) \leq \alpha_0 + \alpha_1 + \dots + \alpha_m \leq \max(p, q)$, where $\alpha_0, \dots, \alpha_m$ are the elements of the continued fraction expansion of p/q ;
- (iii) $n^*(p + q, q) = n^*(p, q) + 1$, if $3p \geq q^2$; or, symmetrically, $n^*(p, p + q) = n^*(p, q) + 1$, if $3q \geq p^2$. This property means that for long and thin rectangles for which one of the given conditions is met, the tiling problem can be reduced to that of a smaller rectangle because the tiling includes at least a square with side equal to the shortest side of the original rectangle.

Even though a general solution to the minimal tiling problem is not yet known, there exists an algorithm that performs an exhaustive search [25, 26]. To date, all the solutions for $p, q \leq 300$ are known. For larger rectangles, even by taking into account property (iii) to reduce the problem, exhaustive search becomes unfeasible because extremely time-consuming. To overcome this issue, a possibility is that of weakening the condition required in property (iii), so that the original rectangle can be reduced as much as possible before applying exhaustive search, at the cost of accepting possibly non minimal solutions. In this work, where needed, on the basis of [24], the following weakened form of property (iii) is considered§

- (iii') $n^*(p + q, q) = n^*(p, q) + 1$, if $p \geq q$; or, symmetrically, $n^*(p, p + q) = n^*(p, q) + 1$, if $q \geq p$.

Finally, it is worth noting that, for a network with normalized resistance $\rho = p/q$ and a certain associated tiling, the ratio F between the maximum and the minimum currents in the network is given by the ratio of the side of the largest square of the tiling to that of the smallest square. This follows from the fact noted above that the normalized current which flows through an element corresponding to a square of side k is k/q . The ratio F is an additional figure-of-merit associated to a network [11].

§ The fact that property (iii') can lead to non minimal solutions can be demonstrated by considering a 112×53 rectangle for which $n^*(112, 53) = 11 < n^*(59, 53) + 1 = 12$ [25].

5. Cases

In QHARS realized with GaAs technology, the plateau index of interest is $i = 2$, so $R_H = R_K/2$. The recommended value of the von Klitzing constant is $R_K = 25\,812.807\,443\,4(84)\,\Omega$ [3.2×10^{-10}], giving $R_H = R_K/2 = 12\,906.403\,721\,7(42)\,\Omega$ [27]. The conventional value adopted internationally for realizing representations of the ohm is $R_{K-90} = 25\,812.807\,\Omega_{90}$, giving $R_{H-90} = R_{K-90}/2 = 12\,906.4035\,\Omega_{90}$. The relative difference between R_H and R_{H-90} is $1.718(32) \times 10^{-8}$. In the following, the normalization resistance employed to compute ρ_0 and δ is R_{H-90} ; however, since approximations ρ to ρ_0 are considered of interest if $|\delta| < 1 \times 10^{-6}$, the results maintain their validity when R_H is instead considered.

We investigate here approximations of decadic resistance values, in particular the values 100 Ω , 1 k Ω , 10 k Ω , 100 k Ω and 1 M Ω . These values are often those for which a set of artifact resistance standards is maintained, are typically calibrated for dissemination, and are the goal of previous works on QHARS design [4, 6–8, 11]. With available QHARS of these values, the dissemination process could proceed mainly by substitution or 1 : 1 comparison calibrations, which do not require the availability of resistance ratio standards that can affect the calibration uncertainty.

Table 1 gives a summary of the results of this investigation. For each decadic value R_0 , the normalized ratio $\rho_0 = R_0/R_{K-90}$ is evaluated. A sequence of rational approximations of ρ_0 is generated from the Stern-Brocot tree. Some cases, selected among those with a maximum error of 10^{-6} or better, are developed||; one case ($\rho = 203/262$) is taken as example to outline the complete analysis.

The rectangle corresponding to each approximation ρ is tiled by squares, using the method described in section 4. Figure 6 shows the tiling found for the example case 203/262, which corresponds to a minimal tiling (for the other cases, it might occur that further simpler tilings exist). For each case, the number n of QHE network elements involved, the F ratio value and the network topology can be directly deduced from the tiling.

Figure 7 shows the network topologies found for several interesting cases. Each topology is represented as a two-terminal network composed of two-terminal elements. The actual circuit, instead, is provided with four terminals (two current terminals and two voltage ones) and is composed of multiterminal QHE elements. In order to reject the effect of the inevitable contact and wiring resistances, the connection among QHE elements should be realized as multiterminal *multiple-series*, *multiple-parallel* [28] or *multiple-bridge* [29] connections.

The circuit diagram for the example case 203/262 is given in figure 8, where double-series, double-parallel and double-bridge connections are employed. The analysis carried out with the indefinite admittance matrix method of [12] yielded a four-terminal resistance $\rho = 203/262 + O(\epsilon^2)$, where ϵ is the maximum of the set of the normalized

|| Some of the approximations were previously investigated in [8, 11], also. Table 1 reports the number of elements in the networks there considered, and the corresponding F ratio.

parasitic resistance values associated with contacts and wiring (see [12, 29] for details).

6. Conclusions

We have shown that the synthesis of a QHARS with a given value can be traced back to two mathematical problems, well known and widely developed in literature: i) find a rational approximation of the ratio between the QHARS desired value and the quantized resistance; ii) find an efficient tiling of the rectangle representing the approximation fraction with a small number of squares; the tiling will give the number of QHE elements needed and the way to connect them.

A number of cases, corresponding to decadic QHARS resistance values, have been explicitly considered. The resulting networks have a number of elements significantly less than those given in literature, and should permit an easier realization of integrated QHARS.

The authors are interested in collaborations with QHARS manufacturers to analyze further cases of practical interest.

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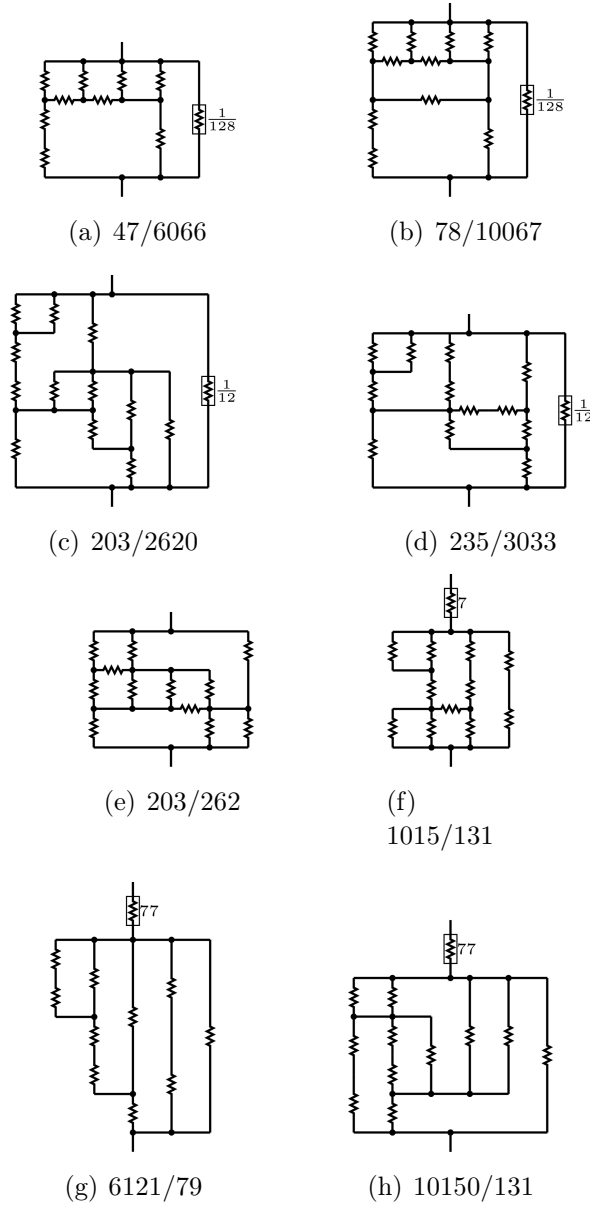


Figure 7. Network topologies corresponding to the cases considered in table 1.

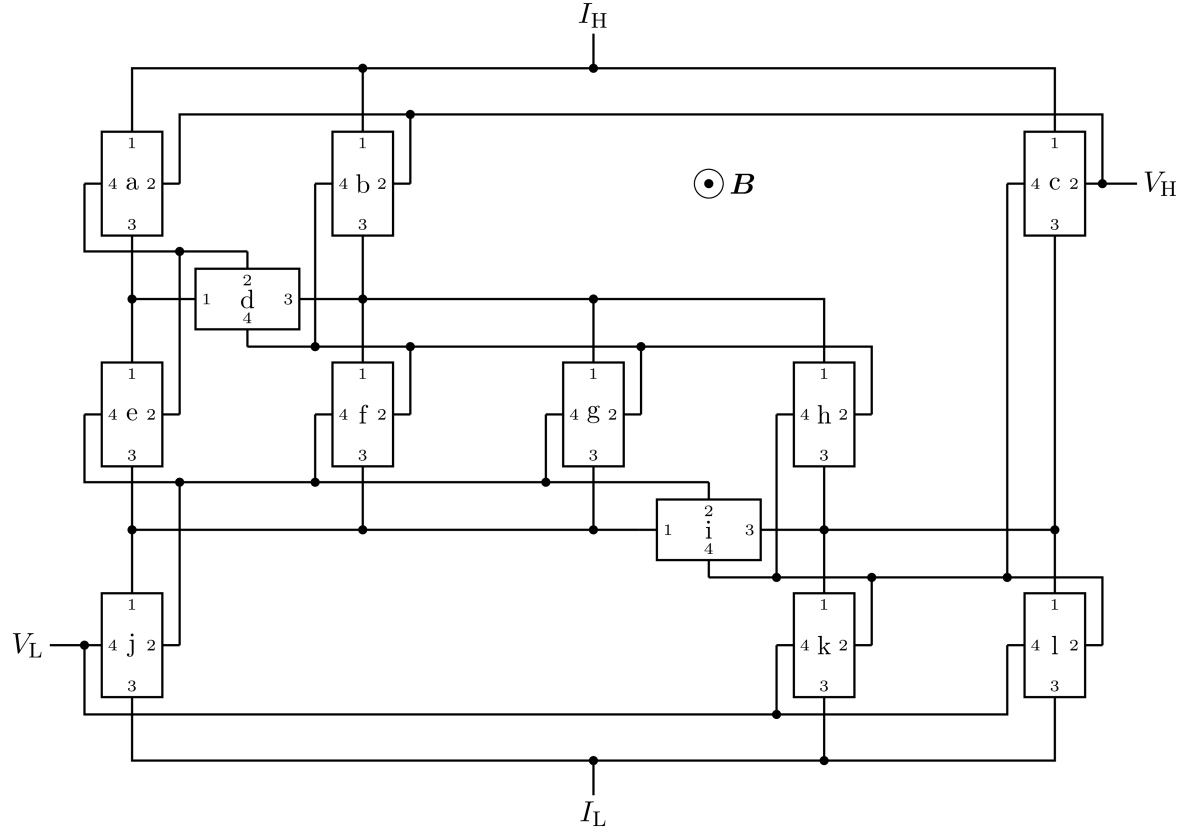


Figure 8. Circuit diagram corresponding to the tiling of figure 6 employing 4-terminal QHE elements in multiple-series, multiple-parallel and multiple-bridge connections.